

# Wavelength- and structure-insensitive on-chip mode manipulation based on the Thouless pumping mechanism

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Abstract. Coupled-waveguide devices are essential in photonic integrated circuits for coupling, polarization handling, and mode manipulation. However, the performance of these devices usually suffers from high wavelength and structure sensitivity, which makes it challenging to realize broadband and reliable on-chip optical functions. Recently, topological pumping of edge states has emerged as a promising solution for implementing robust optical couplings. In this paper, we propose and experimentally demonstrate broadband on-chip mode manipulation with very large fabrication tolerance based on the Rice-Mele modeled silicon waveguide arrays. The Thouless pumping mechanism is employed in the design to implement broadband and robust mode conversion and multiplexing. The experimental results prove that various mode-order conversions with low insertion losses and intermodal crosstalk can be achieved over a broad bandwidth of 80 nm ranging from 1500 to 1580 nm. Thanks to such a topological design, the device has a remarkable fabrication tolerance of ±70 nm for the structural deviations in waveguide width and gap distance, which is, to the best of our knowledge, the highest among the coupled-waveguide mode-handling devices reported so far. As a proof-of-concept experiment, we cascade the topological modeorder converters to form a four-channel mode-division multiplexer and demonstrate the transmission of a 200-Gb/s 16-quadrature amplitude modulation signal for each mode channel, with the bit error rates below the 7% forward error correction threshold of  $3.8 \times 10^{-3}$ . We reveal the possibility of developing new classes of broadband and fabrication-tolerant coupled-waveguide devices with topological photonic approaches, which may find applications in many fields, including optical interconnects, quantum communications, and optical computing.

Keywords: topological photonics; Thouless pumping; coupled-waveguide device; on-chip mode manipulation.

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# 1 Introduction

Coupled-waveguide devices play a pivotal role in photonic integration for their wide applications in power coupling,<sup>1,2</sup> polarization beam splitting and rotation,<sup>3</sup> and mode conversion and multiplexing.<sup>4,5</sup> However, the coupling between different waveguides and modes usually suffers from high wavelength

and structure sensitivity. Stringent nanofabrication precision is required for achieving qualified devices, which limits the yield and the scale of the integrated photonic circuits. Therefore, broadband and structure-insensitive coupled-waveguide devices based on naturally robust physical mechanisms are very much desired in large-scale photonic integration and massive production.

With its emergence, topological photonics has attracted great interest for the robust transport of light enabled by the topological edge states (TESs). TESs, which are protected by the

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topologically nontrivial phases, have demonstrated their robustness against disorders and imperfections<sup>6</sup> in a variety of circumstances, such as polarization conversion,<sup>7</sup> nonlinear light generation,<sup>8</sup> and topological insulator lasers.<sup>9</sup> Robust waveguiding, coupling, and splitting of TESs in coupled-waveguide structures have also been experimentally demonstrated in Su– Schrieffer–Heeger (SSH) modeled silicon waveguide arrays.<sup>11</sup> These structures are insensitive to the variations in wavelength and gap distance among silicon waveguides to some degree. However, they are still vulnerable to the structural variation in waveguide width.<sup>10–12</sup>

Thouless pumping is another example of topology. When the parameters in a Thouless pumping system undergo a cyclic adiabatic evolution, the net particle transfer per cycle is determined by the Chern number of the energy band occupied by the particle.<sup>13,14</sup> By employing such a topological pumping mechanism, robust excitation transfer has been realized in various physical systems, including ultracold atoms in optical lattices,<sup>15,16</sup> optical waveguide arrays,<sup>17-19</sup> and artificial spin systems.<sup>20,21</sup> Recently, we have proposed and experimentally demonstrated broadband and robust power coupling and modeorder conversion based on the Thouless pumping mechanism in Rice-Mele (RM)-modeled silicon waveguide arrays, which shows significant advantages in expanding the working bandwidth and enhancing the fabrication tolerance of the device.<sup>22</sup> However, only a proof-of-concept experiment was carried out for the two lowest-order modes. The method has not been applied to the mode conversion or multiplexing involving more and higher-order modes, which are the key to realizing robust and more complicated on-chip mode manipulation.

Here, we prove the universality of the design method based on the Thouless pumping mechanism by extending the investigation to higher-order modes and accomplishing broadband and fabrication-tolerant mode couplings among arbitrary modes. As compared with other topological waveguide arrays reported previously,<sup>10,11</sup> the adiabatic pumping scheme used here is more advantageous because it shows robustness against the structural deviations in both waveguide width and gap distance. To characterize the insertion loss (IL) and intermodal crosstalk (CT) of the topological design, we cascade various mode-order converters based on the Thouless pumping mechanism to form a fourchannel mode-division multiplexer. The experimental results prove that the proposed multiplexer exhibits low ILs of <2.6 dB and CT values of < -20.5 dB over an 80-nm bandwidth (1500 to 1580 nm) for all four mode channels. Structural parameter discrepancies were also intentionally introduced to the topological structure and its conventional counterpart based on asymmetric directional couplers (ADCs) to compare the fabrication tolerance of these two kinds of designs. The topological device shows a great fabrication tolerance of  $\pm 70$  nm for the structural deviations in waveguide width and gap distance, whereas the performance of the conventional device deteriorates rapidly with the structural parameters deviating from the designed values. To the best of our knowledge, the proposed device has the highest fabrication tolerance among the coupledwaveguide mode-handling devices that have ever been reported in literature. Moreover, we carry out a mode-division multiplexing (MDM) experiment to demonstrate the performance of the proposed devices in high-speed data transmission of 16-quadrature amplitude modulation (16-QAM) signals. The transmission capacity per lane reaches 200 Gbit/s, with the bit error rates (BERs) below the 7% forward error correction (FEC) threshold of  $3.8 \times 10^{-3}$ . To the best of our knowledge, this is the first demonstration of the robust transmission of data in a high-speed topological MDM system. Our approach provides a one-time solution for broadening the working bandwidth and loosening the fabrication accuracy requirement for on-chip mode manipulation, which may open a new avenue toward the practical applications of topological photonics in diverse fields such as optical communications,<sup>23,24</sup> quantum information processing,<sup>25,26</sup> and large-scale neural networks.<sup>27,28</sup>

## 2 Principles and Methods

### 2.1 Topological Pumping of Edge States in the RM Model

The RM model is realized by an array of evanescently coupled silicon waveguides fabricated on the silicon-on-insulator (SOI) platform (3-µm-thick buried oxide layer, 220-nm-thick silicon top layer, and  $1-\mu$ m-thick silica upper cladding layer), as schematically illustrated in Fig. 1(a). The waveguide array resembles a Su-Schrieffer-Heeger (SSH) lattice in the transverse direction with the narrow and wide waveguides in the bipartite unit cell of the waveguide array supporting the fundamental and high-order modes, respectively. The neighboring lattice sites share the same on-site energy, i.e., the fundamental mode in the narrow waveguide and the high-order mode in the wide waveguide must have equal effective modal indices. The waveguide widths and the gap distances among the waveguides change periodically along the propagation direction in such a way that the dynamics of light propagation in the system can be described by the tightbinding Hamiltonian of the RM model:<sup>13,29-31</sup>

$$H = \frac{1}{2}h(z)\sum_{i}(-1)^{i}c_{i}^{\dagger}c_{i} + \frac{1}{2}\sum_{i}[\tau + (-1)^{i}\delta(z)]c_{i}^{\dagger}c_{i+1} + h.c.,$$
(1)

where  $c_i^{\dagger}$  and  $c_i$  are the creator and annihilator on lattice site *i*,  $\tau$  is the uniform coupling strength, and z is the propagation distance normalized to the periodicity L along the propagation direction.  $h(z) = h_0 \sin 2\pi z$  and  $\delta(z) = e^{\delta_0 \cos 2\pi z} - 1$  are the periodic modulations of the mode mismatching and coupling strengths, respectively, which can be obtained by varying the waveguide widths and the gap distances (see Sec. S2 in the Supplementary Material for details). As an example, here we consider the transverse magnetic (TM) modes in an array consisting of 30 waveguides with alternating widths. The narrow waveguides support the TM<sub>0</sub> mode, the effective index of which matches that of the TM<sub>1</sub> mode in the wide waveguides. The band structure of the TM supermodes in such an array over a full pump cycle is shown in Fig. 1(b). A pair of edge states appears in the bandgap, which is represented by the red and blue solid lines in the band diagram. Different from the normal RM model, where the periodic on- and off-site modulations h(z) and  $\delta(z)$  are typically sinusoids, the evanescent couplings among neighboring waveguides in our design lead to a "lopsided" RM Hamiltonian, and therefore the asymmetric band structure is shown in Fig. 1(b). In Fig. 1(c), we display the mode profiles of the supermodes at Points IA to VIIIA (Points IB to VIIIB) along the blue (red) curve in Fig. 1(b). Panels IA to VIIIA correspond to the mode evolution on the blue curve. The mode is initially located in the bandgap and is highly localized on the left



**Fig. 1** Thouless pumping process in an RM-modeled silicon waveguide array. (a) Schematic of the coupled-waveguide array. (b) Band structure of the TM supermodes in an array of 30 waveguides with alternating widths. The black solid lines represent the bulk bands, whereas the red and blue solid lines represent the left and right edge states when  $z \in [0, 1/4) \cup (3/4, 1]$ . (c) Mode profiles  $(|\mathcal{E}|)$  of the supermodes at Points IA to VIIIA (Points IB to VIIIB) on the blue (red) curve in panel (b).

edge of the waveguide array. Then, it approaches the bulk bands and becomes extended in the middle waveguides. Finally, it returns to the bandgap and gets localized on the right edge, completing the edge-to-edge transport of light and the conversion from the  $TM_0$  mode to the  $TM_1$  mode in one pump cycle. Panels IB to VIIIB illustrate the mode evolution along the red curve in Fig. 1(b), where the TM<sub>1</sub> mode launched from the right edge transports to the left edge and becomes the  $TM_0$  mode in the adiabatic pumping process. Most importantly, the edge-to-edge transport of light and the mode conversion are topologically protected by the Chern number. When the closed loop of the modulations in the  $(h, \delta)$ -space encircles the origin, the Chern number is equal to 1 and the edge state traverses the bulk and populates the edge state on the other side of the lattice. When the region encircled by the loop does not contain the origin, the Chern number equals 0, and the Thouless pumping process does not occur. By exploiting the topological nature of this process, one can implement robust on-chip mode manipulation that is insensitive to the variations in wavelength and structural parameters to a large extent. More details about the analytical expression of the edge states in the waveguide array and the explanation of the robustness originating from the Thouless pumping mechanism can be found in Sec. S1 in the Supplementary Material.

### 2.2 Mode Conversions Based on the Thouless Pumping Mechanism

We start with different kinds of mode-order conversions in the topological waveguide arrays to prove the feasibility of on-chip mode manipulation using the Thouless pumping mechanism. To achieve a compact device footprint, we adopt the simplest structures with only four waveguides to realize the Thouless pumping process and the mode-order conversion. The topological protection of the edge-to-edge transport still exists with only a few waveguides as long as the parametric loop in the  $(h, \delta)$ space encircles the origin point. A more detailed explanation can be found in Sec. S1 in the Supplementary Material. The mode conversion region is illustrated in Fig. 2(a) with the bottom narrow and top wide waveguides supporting the fundamental mode  $(TM_0)$  and high-order modes  $(TM_{1,2,3})$ , respectively. To exploit the topological pumping mechanism, the waveguide widths and the gap distances among neighboring waveguides in the mode conversion region change gradually along the propagation direction to realize the mode mismatching strength h(z)and the mode coupling strength  $\delta(z)$  in Eq. (1) (see Sec. S2 in the Supplementary Material). To reach the phase matching condition between the fundamental mode and different high-order modes, the widths of the narrow and wide waveguides are designed to vary around the average values of  $\overline{w_1}$  and  $\overline{w_2}$ , respectively, for different mode-order conversions, as listed in Table 1. The mode mismatching strengths are controlled by the waveguide width variations  $\Delta w_1$  and  $\Delta w_2$  (the variation ranges are shown in Table 1) so that the effective indices of the  $TM_0$  to  $TM_3$  modes are modulated in the same range of 1.69 to 1.72. The gaps among neighboring waveguides are slowly varied around the average values of  $\overline{g}$  by an amount of  $\Delta g$  to accomplish the coupling strength modulations, as shown in Table 1. Based on the even- and odd-mode analysis, we find that the mode coupling strengths change in the range of  $0.017k_0$  to  $0.075k_0$ ,  $0.011k_0$  to  $0.052k_0$ , and  $0.008k_0$  to  $0.041k_0$  for the TM<sub>0</sub>-to-TM<sub>1</sub>, TM<sub>0</sub>-to-TM<sub>2</sub>, and TM<sub>0</sub>-to-TM<sub>3</sub> couplings, respectively, where  $k_0$  is the wave number of light in vacuum at 1550 nm (see Sec. S2 in the Supplementary Material). The lengths of the mode conversion regions or equivalently the periodicities of the pump cycle L are chosen to be much longer



**Fig. 2** Mode conversions based on the topological waveguide array. (a) Schematic of the mode conversion region composed of the topological waveguide array. (b)–(d) Light propagation profiles  $(|E_y|)$  at 1550 nm for the (b) TM<sub>0</sub>-to-TM<sub>1</sub>, (c) TM<sub>0</sub>-to-TM<sub>2</sub>, and (d) TM<sub>0</sub>-to-TM<sub>3</sub> mode conversions when the TM<sub>0</sub> mode is launched from the bottom narrow waveguide. (e)–(g) Simulated transmission spectra of different modes at the output ends of the (e) TM<sub>0</sub>-to-TM<sub>1</sub>, (f) TM<sub>0</sub>-to-TM<sub>2</sub>, and (g) TM<sub>0</sub>-to-TM<sub>3</sub> coupling regions in panels (b)–(d).

than the coupling lengths for the average coupling strength  $\tau$ in order for the evolution of the TM modes to reach the adiabatic regime, as one can see in Table 1. Note that the minimum feature size of the design (the waveguide width and gap distance) is larger than 130 nm, which means it could be fabricated with foundry-compatible processes.

The full-wave numerical simulations of the mode-order conversions in the topological waveguide arrays were performed using the three-dimensional finite-difference time-domain (3D FDTD) methods. Figures 2(b)-2(d) display the light propagation profiles ( $|E_y|$ ) at 1550 nm for the TM<sub>0</sub>-to-TM<sub>1</sub>, TM<sub>0</sub>-to-TM<sub>2</sub>, and TM<sub>0</sub>-to-TM<sub>3</sub> coupling regions, respectively. The TM<sub>0</sub> mode is launched from the bottom narrow waveguide, experiences the topological pumping process shown in Figs. 1(b) and 1(c), and finally outputs from the top wide waveguide as a high-order mode. The mode overlapping constants between the

output fields and the eigenmode fields are calculated to evaluate the IL and CT levels (see Sec. S2 in the Supplementary Material). Figures 2(e)–2(g) present the simulated transmission spectra of different modes for the mode conversion regions shown in Figs. 2(b)–2(d). The ILs are lower than 0.67, 0.97, and 1.33 dB, and the CT values are below -20.7, -24.2, and -20.0 dB over a 100-nm bandwidth (1500 to 1600 nm) for the TM<sub>0</sub>-to-TM<sub>1</sub>, TM<sub>0</sub>-to-TM<sub>2</sub>, and TM<sub>0</sub>-to-TM<sub>3</sub> conversions, respectively.

To prove the robustness of the mode-order conversions enabled by the Thouless pumping process, we compare the designs based on the topological waveguide arrays with those based on the conventional ADCs. The ADCs consist of a singlemode waveguide (420-nm-wide) and a multimode waveguide (1110-, 1770-, and 2450-nm-wide for the  $TM_1$ ,  $TM_2$ , and  $TM_3$  modes, respectively). The coupling lengths are chosen

Table 1 Design parameters for different mode-order conversions.

Mode-order conversion	$\overline{w_1}$ (nm)	$\Delta w_1$ (nm)	<u>w<sub>2</sub></u> (nm)	$\Delta w_2$ (nm)	<u></u> <i>g</i> (nm)	$\Delta g$ (nm)	<i>L</i> (μm)
TM <sub>0</sub> -to-TM <sub>1</sub>	420	[-30, 30]	1110	[-45, 45]	300	[–170, 170]	80
TM <sub>0</sub> -to-TM <sub>2</sub>	420	[–45, 45]	1770	[–81, 81]	340	[–180, 180]	150
TM <sub>0</sub> -to-TM <sub>3</sub>	420	[–35, 35]	2450	[-63, 63]	385	[–200, 200]	200

to be equal to those of the topological designs, i.e., L in Table 1, for a fair comparison. The gaps between the single-mode and multimode waveguides are 840, 500, and 685 nm, respectively, for the TM<sub>0</sub>-to-TM<sub>1.2.3</sub> ADCs (see Sec. S3 in the Supplementary Material for more details). We introduce the gap distance deviation ( $\delta q$  for all the gaps) and the waveguide width deviation ( $\delta w$  for the narrow waveguides and  $1.7\delta w$ ,  $2.3\delta w$ , and  $3.1\delta w$  for the wide waveguides supporting the TM<sub>1</sub> to TM<sub>3</sub> modes, respectively) to the topological and conventional structures to investigate the fabrication tolerance of both designs. The width deviations of the wide waveguides are larger than those of the narrow waveguides to meet the phase matching conditions between the fundamental mode and the high-order modes. Figures 3(a)-3(f) show the simulated transmission of the target modes ( $TM_1$  to  $TM_3$ ) at 1550 nm as functions of waveguide width deviation  $\delta w$  [Figs. 3(a)–3(c)] and gap distance deviation  $\delta q$  [Figs. 3(d)–3(f)]. The ILs of the topological devices are maintained below 2 dB even for very large errors (-75 to 85 nm for  $\delta w$  and -100 to 150 nm for  $\delta g$ ), whereas the conventional ones drop very quickly with increasing deviations. The comparison shows clear evidence that the mode-order conversions based on the Thouless pumping process are much more tolerant to the structural discrepancies in both waveguide width and gap distance. Figures 3(g)-3(i) compare the simulated transmission spectra of the target modes of both the topological and conventional mode-order converters. The 2-dB bandwidths of the conventional devices are narrower than 33 nm which are more than 3 times smaller than their topological counterparts. Therefore, we can conclude that the topological designs have better robustness against wavelength variation compared with the conventional ones, which is very beneficial for achieving ultrabroadband integrated photonic devices.

# **3 Results and Discussion**

#### 3.1 Device Fabrication and Characterization

To characterize the ILs and intermodal CT, the proposed modeorder converters based on the Thouless pumping mechanism and their conventional counterparts based on the ADCs were then cascaded to form the four-channel mode-division multiplexers, as shown in Fig. 4(a). The devices were fabricated on an SOI wafer (3- $\mu$ m-thick buried oxide layer, 220-nm-thick silicon top layer, and 1- $\mu$ m-thick silica upper cladding layer) with complementary metal-oxide semiconductor-compatible fabrication processes. The device structures were patterned using E-beam lithography and etched by inductively coupled plasma dry etching. The silica upper cladding layer was



**Fig. 3** Comparison of the topological and conventional mode-order conversions. (a)–(f) Simulated transmission of the target modes  $(TM_1 - TM_3)$  at 1550 nm when (a)–(c) the waveguide width deviation  $\delta w$  varies from –100 to 200 nm, or (d)–(f) the gap distance deviation  $\delta g$  varies from –100 to 150 nm. (g)–(i) Simulated transmission spectra of the target modes in different mode-order converters. The left, middle, and right columns of the figure correspond to the TM<sub>0</sub>-to-TM<sub>1</sub>, TM<sub>0</sub>-to-TM<sub>2</sub>, and TM<sub>0</sub>-to-TM<sub>3</sub> conversions, respectively.



**Fig. 4** Structures of the fabricated devices. (a) Optical microscope photo of the fabricated MDM device. The correspondence between the ports and the modes is indicated in the figure. The  $TM_0$ -to- $TM_1$ ,  $TM_0$ -to- $TM_2$ , and  $TM_0$ -to- $TM_3$  mode conversion regions are encircled by the red, green, and blue dashed boxes, respectively. (b)–(d) SEM images of the (b)  $TM_0$ -to- $TM_1$ , (c)  $TM_0$ -to- $TM_2$ , and (d)  $TM_0$ -to- $TM_3$  mode-order converters based on the topological waveguide arrays. (e)–(g) SEM images of the (e)  $TM_0$ -to- $TM_1$ , (f)  $TM_0$ -to- $TM_2$ , and (g)  $TM_0$ -to- $TM_3$  mode-order converters based on the conventional ADCs.

deposited over the devices by plasma-enhanced chemical vapor deposition. Figures 4(b)–4(d) display the scanning electron microscope (SEM) images of the waveguides in the  $TM_0$ -to- $TM_1$ ,  $TM_0$ -to- $TM_2$ , and  $TM_0$ -to- $TM_3$  mode conversion regions of the topological design, whereas Figs. 4(e)–4(g) present those of the conventional ADCs.

In the experiments, a tunable continuous wave laser and a photodetector were employed to measure the transmission spectra of the fabricated devices. The TM-polarized light was coupled into and out of the chip by grating couplers (GCs). The transmission spectra of the devices were normalized to that of the reference GCs fabricated on the same chip. More details about the experimental setup and the measurement methods can be found in Sec. S2 in the Supplementary Material. Figure 5 shows the measured transmission spectra of the four-channel mode-division multiplexer employing the Thouless pumping mechanism with the light injected from the  $TM_0$  to  $TM_3$  ports on the left side of the device. Thanks to the broadband nature of the topological design, the measured ILs are lower than 0.4, 2.2,2.4, and 2.6 dB over an 80-nm bandwidth (1500 to 1580 nm) for the  $TM_0$ ,  $TM_1$ ,  $TM_2$ , and  $TM_3$  mode channels, respectively. It means that the ILs of the TM<sub>0</sub>-to-TM<sub>1,2,3</sub> mode-order converters are less than 1.1, 1.2, and 1.3 dB, respectively. The measured CT values are below -23, -23, -20.5, and -25 dB in the same wavelength range for the TM<sub>0</sub>-TM<sub>3</sub> mode inputs, respectively. As a comparison, the measured 3-dB bandwidth of the conventional mode-division multiplexer based on ADCs is only  $\sim 10$  nm, and the CT value is less than -15 dB in the wavelength range of 1500 to 1580 nm (see Sec. S4 in the Supplementary Material). It confirms that the topological design is more robust against wavelength variation compared with its conventional counterpart.

To evaluate the fabrication tolerance of the topological and conventional mode-division multiplexers, structural discrepancies were intentionally introduced to the waveguide width and the gap distance during the fabrication. Figure 6 shows



**Fig. 5** Measured transmission spectra of the four modes when the light is injected from the (a)  $TM_0$ , (b)  $TM_1$ , (c)  $TM_2$ , and (d)  $TM_3$  input ports of the proposed topological MDM device.



**Fig. 6** Measured transmission of the target modes at 1550 nm of the fabricated topological and conventional mode-division multiplexers with various fabrication errors. (a)–(c) Measured transmission of the (a)  $TM_1$ , (b)  $TM_2$ , and (c)  $TM_3$  modes when the waveguide width deviation  $\delta w$  varies from –90 to 90 nm. (d)–(f) Measured transmission of the (d)  $TM_1$ , (e)  $TM_2$ , and (f)  $TM_3$  modes when the gap distance deviation  $\delta g$  varies from –90 to 90 nm.

the measured transmission of the target modes at 1550 nm when the waveguide width deviation  $\delta w$  or the gap distance deviation  $\delta q$  is varied in the range of -90 to 90 nm. The measured ILs of the topological device are kept below 3 dB even with large structural discrepancies (-90 to 90 nm for the TM<sub>1</sub> mode, -80 to 80 nm for the  $TM_2$  mode, and -70 to 70 nm for the  $TM_3$  mode), whereas the performance of the conventional device degrades significantly with the same fabrication errors. The larger ILs measured in the experiments compared with the values obtained in the simulations could be attributed to the fabrication imperfections such as waveguide sidewall roughness and partial filling of the gaps with the cladding material. The fabrication tolerance becomes worse with increasing mode order as the effective modal indices of high-order modes are close to each other and therefore more sensitive to the changes in structural parameters. Nevertheless, the experimental results clearly reveal that the topological design possesses great robustness against the structural deviations in waveguide width and gap distance, which has long been the pursuit of people working in this field. It is worth mentioning that the topological design method based on the Thouless pumping mechanism can also be applied to the on-chip mode manipulation for the transverse electric (TE) polarization (see Sec. S5 in the Supplementary Material). The topological devices working for TE modes have larger footprints and smaller feature sizes compared with their TM counterparts because the coupling strengths among TE modes are usually weaker than those among TM modes.

## 3.2 High-Speed Data Transmission Experiment

As proof of its possible application, we conducted a transmission experiment based on the four-channel topological MDM device by sending one high Baud rate signal to each channel at a time. Figures 7(a) and 7(b) illustrate the experimental setup and transceiver digital signal processing (DSP) algorithms for high-speed transmission, respectively. A Nyquist-shaped 50-GBaud 16-QAM signal is transmitted through each channel of the MDM device. At the receiver, a real-valued multiple-input and multiple-output feedforward equalizer post filter, and maximum-likelihood sequence decision are employed to compensate for the transmission impairments. More detailed information for the experimental setup and DSP can be found in Sec. S2 in the Supplementary Material. The measured optical spectra of 50-GBaud 16-QAM signals at different stages are shown in Fig. 7(c), with a resolution of 1.12 pm. Figure 7(d) plots the calculated BERs for the four mode channels, all below the 7% hard-decision forward error correction threshold of  $3.8 \times 10^{-3}$ . The recovered constellations of the 16-QAM signals for different modes are presented in Fig. 7(e), which indicates a good signal quality for all the channels. Note that the calculated BERs are well below the 7% FEC threshold, so the data rate can be further improved by increasing the data rate of the signal source and adding an optical bandpass filter after the second erbium-doped fiber amplifier (EDFA) to filter out the amplified spontaneous emission noises. Furthermore, the broadband and fabrication-tolerant properties of the topological mode manipulation can be exploited to build a larger-scale multiplexing system with more mode and wavelength channels, pushing the aggregate data rate of the system to a new level.

Table 2 compares the proposed device with several recently reported four-channel mode-division multiplexers.<sup>5,6,32–36</sup> Our design achieves low ILs and CT values over a broad bandwidth, which shows great promise for applications in on-chip MDM datalinks. Benefitting from the topological nature of the Thouless pumping process, the mode conversion and multiplexing exhibit significant structural parameter insensitivity. To the best of our knowledge, our work has the highest fabrication tolerance among various types of silicon-based MDM devices,



**Fig. 7** High-speed data transmission experiment based on the four-channel topological MDM device. (a) Setup for the 200-Gb/s high data rate-transmission experiment. The black and orange lines represent optical and electrical links, respectively. (b) DSP algorithms for the transceivers. (c) Measured optical spectra for the 16-QAM signals at different stages. (d) BERs for the four signal channels. (e) Recovered constellations for each mode.

Ref.	Structure	Bandwidth (nm)	IL (dB)	CT (dB)	Fabrication tolerance
4	Shortcuts to adiabaticity	100	<1.3	<-23	±20 nm
5	ADCs	20	<4	<-23	—
32	Directional couplers with subwavelength sidewall corrugations	50	<4	<-16	±20 nm
33	Tilt waveguide junctions with shallow etched slots	60	<1.29	<-14.4	—
34	Pixelated waveguides	60	<3	<-14.6	±10 nm
35	Subwavelength grating-assisted triple-waveguide couplers	100	<5	<-10	±20 nm
36	Tapered ADCs	75	<6	<–16	±20 nm
This work	Topological waveguide arrays using the Thouless pumping mechanism	80	<2.6	<-20.5	±70 nm

Table 2 Comparison of various silicon-based four-channel MDM systems.

which is very much desired in large-scale photonic integration and high-capacity optical communications.

# 4 Conclusion

To conclude, we have proposed and experimentally demonstrated a new method for wavelength- and structure-insensitive on-chip mode manipulation based on the RM-modeled silicon waveguide arrays. The Thouless pumping mechanism is exploited to realize robust mode couplings in the coupled-waveguide devices. Compared with other topological approaches, the Thouless pumping scheme employed in this work shows great robustness to the deviations not only in wavelength and gap distance but also in waveguide width, which contributes to the broadband and fabrication-tolerant properties of the topological devices. Using the proposed design method, we realize various high-efficiency mode-order conversions and construct a fourchannel MDM system based on the topological waveguide arrays. The experimental results prove that the fabricated topological mode-division multiplexer has low ILs of < 2.6 dB and crosstalk of < -20.5 dB for the TM<sub>0</sub> to TM<sub>3</sub> MDM links over an 80-nm bandwidth (1500 to 1580 nm). Most importantly, the performance of the proposed device is topologically protected by the Thouless pumping mechanism, resulting in remarkable fabrication tolerance of  $\pm 70$  nm for the structural deviations in waveguide width and gap distance. To the best of our knowledge, this is the highest fabrication tolerance that has ever been reported in the coupled-waveguide mode-handling devices. All these merits are well confirmed in experiments by comparing the performance of the topological devices with that of the conventional ones based on ADCs. On the other hand, the adiabatic requirement of the Thouless pumps inevitably leads to larger device footprints compared with the conventional counterparts. It is really difficult to assess the compactness and the robustness at the same time because there is a trade-off between them. However, there are several new techniques emerging that could reduce the footprints of the topological devices based on the Thouless pumping mechanism. For example, the combination of a topological pump and coherent tunneling by adiabatic passage allows one to speed up the transfer process.<sup>37</sup> The adiabatic infimum of a topological pump can be approached by minimizing the effective Berry connection, leading to the Thouless pump with a rapid evolution speed.<sup>38</sup> Introducing next-nearest-neighbor coupling into the RM model is another method to engineer the quantum metric and lift the constraint of the slow evolution of the Thouless pumps, which gives rise to compact device footprints.<sup>39</sup> Moreover, the minimum feature size of the topological design is larger than 130 nm, indicating the possibility of its fabrication with foundry-compatible processes. A highspeed on-chip MDM data transmission experiment has also been successfully carried out with a data rate of 200 Gb/s per channel, which means the aggregate data rate would reach  $\sim$ 800 Gb/s. To the best of our knowledge, this is the first time robust transmission of data has been demonstrated using topological MDM devices. Further developments of this study could be envisaged, for example, the approach can also be applied to the mode manipulation for the TE polarization and perhaps more advanced mode- and polarization-division hybrid multiplexing devices. Our work provides an intriguing approach to relieving the wavelength sensitivity and improving the fabrication tolerance of coupled-waveguide devices, which would facilitate the development of diverse applications ranging from optical communications<sup>23,24</sup> and optical computing<sup>27,28</sup> to nonlinear light generation<sup>40</sup> and quantum information processing.<sup>25,26,41</sup>

## Disclosures

The authors declare no conflicts of interest.

## Code and Data Availability

Data underlying the results presented in this paper can be obtained from the authors upon reasonable request.

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